

APPENDIX 3

ANNUAL AUDIT PLAN 2015/16 ~ PROGRESS UPDATE

STATUS UPDATE

<i>Annual Audits ~ Key Financial Systems</i>	<i>Completed</i>	<i>Draft Report Stage</i>	<i>In Progress</i>	<i>C/F to 2016/17</i>
Ernst & Young Key Financial Controls (ISA)	✓			
Asset Management	✓			
Cash & Bank		✓		
Creditors		✓		
Debtors		✓		
N.N.D.R. (CenSus Partnership)	✓			
Payroll			✓	
Treasury Management			✓	

Annual Audits ~ Other

BACS	✓			
Business Continuity	✓			
Contracts				✓
Followups	✓			
Computer Audit ~ Gap Analysis vs ISO27001			✓	
Computer Audit ~ Data Access Management		✓		
Computer Audit ~ Computer Asset Inventory				✓
Purchase Cards			✓	

4 Year Cycle

Agency Staff	✓			
Building Control Fees	✓			
Car Parking ~ Off Street Parking	✓			
Customer Service / Complaints Management				✓
Depots ~ Trade Waste Collection	✓			
Elections	✓			
Fuel Cards	✓			
Housing Allocations	✓			
Leisure ~ Capitol Theatre & Drill Hall			✓	
Planning Fees		✓		
Security	✓			
Staff Loans	✓			
Vehicle Management & Maintenance	✓			
VAT	✓			
Project Work ~ New Finance System (Specification)	✓			

Other Work

AGS / Governance Code	✓			
Risk Management ~ Strategy	✓			
Risk Management ~ Risk Register Updates	✓			
Risk Management ~ Development of Toolkit	✓			
Risk Management ~ Training / Workshops	✓			

